






## Pricing Model options

Raymond James allows you to use multiple pricing models for different client segments. Choose from three pricing models depending on your clients' portfolio sizes and expected trading volumes.

Our tiered-bundled pricing model has four tiers that reduce from 0.30% to 0.10% as the portfolio value increases\*. We also have available our semi-bundled and unbundled options.

	<b>Model 1</b> Tiered Bundled 0.30% down to 0.10%	<b>Model 2</b> Semi Bundled 0.25%/£12.50	<b>Model 3</b> Unbundled 0.15%/£27
 <b>Custody Charge</b>	0.30% – 0 to £500k 0.25% – £500k to £1m 0.15% – £1M – £5m 0.10% – £5m+ £300 minimum per annum	0.25%	0.15%
 <b>Transaction Charges</b>	<b>Trade execution charge:</b> £0 (Fair usage policy applies) <b>Overseas trades:</b> £15 per trade (inclusive of any global agent charge) <b>Overseas holding charge:</b> £24 p/a (charged as £2 per month for each overseas holding per account)	<b>Trade execution charge:</b> £12.50 <b>Overseas trades:</b> £15 per trade (inclusive of any global agent charge) <b>Overseas holding charge:</b> £24 p/a (charged as £2 per month for each overseas holding per account)	<b>Trade execution charge:</b> £27 <b>Overseas trades:</b> £15 per trade (inclusive of any global agent charge) <b>Overseas holding charge:</b> £24 p/a (charged as £2 per month for each overseas holding per account)
 <b>Account Maintenance</b>	£0	£0	ISA / GIA: £50+VAT p/a Other: £0
 <b>Client Valuations</b>	Up to 4 free valuations p/a	Up to 4 free valuations p/a	Up to £17.50+VAT per report
 <b>CGT Service Including Annual Report</b>	£125+VAT per account p/a	£125+VAT per account p/a	£125+VAT per account p/a

\*Model 1 allows you to link household accounts for fee purposes

### Charges

Wealth manager charges can be tiered or a flat percentage. We can account for VAT and also split VATable/non-VATable charges. Please speak to your business development consultant for information on fees and charges related to further value-added services.

Raymond James does not have a formal minimum account size per client, but we do require that the average account size across the wealth manager's total portfolio of clients with Raymond James is at least £100,000.

For further information about ancillary services your clients may require, please visit [our website](#).

### Enhanced fund terms

- We are able to leverage the Raymond James group purchasing power, allowing us to achieve enhanced terms with fund groups
- Following the acquisition of Charles Stanley, further significant enhancements have been achieved, thereby providing meaningful savings to clients

### Measurable price improvement

- Our trade execution capabilities allow us to achieve a material price improvement for the benefit of clients
- We executed approximately 237,000 trades for listed securities in calendar year 2023, delivering an average of 29bps in price improvement
- This represents an average (calculated as the mean) of £26.75 per trade, which covers a meaningful portion of trade and/or custody charges

For further information, please contact your Relationship Manager



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We shall process any personal data you provide to us in accordance with the applicable privacy notice displayed on our [website](#).

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