

## Professional Partnership

Establish and grow your own in-house investment service as a Professional Partner with Raymond James and extend the range of services you offer to your clients.

Our solution provides full compliance and regulatory oversight plus the operational and commercial support you need. We'll set up a tripartite service agreement between your firm, Raymond James and the wealth managers involved so that you retain control of your clients.

### Open-architecture environment

- Access a large range of assets, tax wrappers, and a range of mainstream investments
- LSE member firm
- Multiple pricing options
- Client account protection
- Ability to hold accounts in GBP, euros and USD.

### High-quality research\*

- Access to Raymond James' award-winning equity research, covering 1,000+ companies across nine sectors, plus economic and strategy research
- Global equity, economic and strategy research written by top-tier, third-party investment banks, as well as niche providers
- Daily morning research notes, ideas and gilt sheets from various agents
- Fund research from multiple providers at preferential rates.

\*Available via research aggregation platform; costs apply.

### Regulatory oversight

- Supervision of investment management and financial planning activities
- Certification to perform regulated activity
- Professional indemnity insurance cover.

### Technology solutions

- Institutional-quality trade generation, portfolio modelling and rebalancing tools via our award-winning Trading and Rebalancing System
- Our IT professionals constantly enhance our system resilience and data security
- Fully managed IT package - including installation of hardware, maintenance and support.

### Robust reporting

- Time-weighted and money-weighted returns performance reports that meet FCA requirements for discretionary fund management
- 24/7 online access for clients to view holdings and transactions via Client Access
- Ad hoc reporting via the Raymond James portfolio management system
- CGIX CGT calculator provides 'what if' scenarios to minimise CGT liabilities and meet HMRC requirements
- CTVs produced for every client that has received income on their taxable account for the previous tax year. If there has been no income, no report is generated.

### Measurable price improvement

- Our trade-execution capabilities allow us to achieve a material price improvement for the benefit of clients
- We executed approximately 237,000 trades for listed securities in calendar year 2023, delivering an average of 29bps in price improvement
- This represents an average (calculated as the mean) of £26.75 per trade, which covers a meaningful portion of trade and/or custody charges.

### Enhanced fund terms

- We're backed by the purchasing power of the Raymond James group, allowing us to secure enhanced terms with fund groups
- Following the acquisition of Charles Stanley, we've achieved further significant enhancements - providing meaningful savings to clients.

Get in touch with one of our Business Development Consultants



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We shall process any personal data you provide to us in accordance with the applicable privacy notice displayed on our [website](#).